

06/03/97

705 37

Class

Subclass

ISSUE CLASSIFICATION



5963923



5963923

UTILITY SERIAL NUMBER	PATENT DATE 06/03/97	PATENT NUMBER
-----------------------------	------------------------------------	------------------

SERIAL NUMBER 60/030,584	FILING DATE 06/03/97	CLASS 37	SUBCLASS 37	GROUP ART UNIT 2725	EXAMINER JUL 31 2007
-----------------------------	-------------------------	-------------	----------------	------------------------	-------------------------

HOWARD B. GARBEN, CHICAGO, IL

Certificate

JUL 31 2007

of Correction

CONTINUING DATA***
VERIFIED PROVISIONAL APPLICATION NO. 60/030,584 11/12/96

ES 99

FOREIGN/PAT APPLICATIONS*****
VERIFIED

ES 99

FOREIGN FILING LICENSE GRANTED 10/29/97

***** SMALL ENTITY *****

Foreign priority claimed 35 USC 119 conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	AS FILED	STATE OR COUNTRY	SHEETS DRWGS.	TOTAL CLAIMS	INDEP. CLAIMS	FILING FEE RECEIVED	ATTORNEY'S DOCKET NO.
Verified and Acknowledged	Examiner's Initials	→	IL	10	34	11	\$1,000.00	547574

ADDRESS
SPRINGS ROPER GILSON AND LIONE
N.W. TOWER SUITE 3000
P.O. BOX 10395
CHICAGO IL 60695

SYSTEM AND METHOD FOR TRADING HAVING A PRINCIPAL MARKET MAKER

U.S. DEPT. OF COMM./PAT. & TM—PTO-436L (Rev.12-94)

PARTS OF APPLICATION FILED SEPARATELY		Applications Examiner	
NOTICE OF ALLOWANCE MAILED		CLAIMS ALLOWED	
7/12/99		Total Claims	Print Claim
Assistant Examiner		28	21
ISSUE FEE		DRAWING	
Amount Due	Date Paid	Sheets Drwg.	Figs. Drwg.
4,000.00	7-12-99	10	10
Label Area		ISSUE BATCH NUMBER	
PREPARED FOR ISSUE		R67	
WARNING: The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 122, 181 and 368. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only.			

D. IRVINE
Form PTO-436A
(Rev. 8/92)

QUERY

Formal Drawings (shs) set

ISSUE FEE IN FILE

(FACE)

PATENT NUMBER		APPLICATION SERIAL NUMBER		ORIGINAL CLASSIFICATION	
		081868, 200		CLASS SUBCLASS	
				705 379	
APPLICANT'S NAME (PLEASE PRINT)		CROSS REFERENCE(S)			
GARBER, HOWARD B.		SUBCLASS (ONE SUBCLASS PER BLOCK)			
		CLASS			
		705	351		
		235	379	380	
		GROUP	ASSISTANT EXAMINER (PLEASE STAMP OR PRINT FULL NAME)		
		ART UNIT	JAGDISH PATEL		
		2765	PRIMARY EXAMINER (PLEASE STAMP OR PRINT FULL NAME)		
			ALLEN R. MACDONALD		
		ISSUE CLASSIFICATION SUPERVISORY PATENT EXAMINER			
		U.S. DEPARTMENT OF COMMERCE			
		TRADEMARK OFFICE			